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# TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

| | |
|---|---|
| Total Number of Pages in This Submission | 60 |

| | |
|---|---|
| Application Number | 09/838,927-Conf. #2178 |
| Filing Date | April 19, 2001 |
| First Named Inventor | Seth Harman |
| Art Unit | 3622 |
| Examiner Name | J. W. Van Bramer |
| Attorney Docket Number | 65164/P001CP1/10606083 |

## ENCLOSURES (*Check all that apply*)

- [x] Fee Transmittal Form
- [ ] Fee Attached
- [ ] Amendment/Reply
- [ ] After Final
- [ ] Affidavits/declaration(s)
- [ ] Extension of Time Request
- [ ] Express Abandonment Request
- [x] Information Disclosure Statement with Exhibit A
- [ ] Certified Copy of Priority Document(s)
- [ ] Reply to Missing Parts/ Incomplete Application
- [ ] Reply to Missing Parts under 37 CFR 1.52 or 1.53
- [ ] Drawing(s)
- [ ] Licensing-related Papers
- [ ] Petition
- [ ] Petition to Convert to a Provisional Application
- [ ] Power of Attorney, Revocation Change of Correspondence Address
- [ ] Terminal Disclaimer
- [ ] Request for Refund
- [ ] CD, Number of CD(s) _____
- [ ] Landscape Table on CD
- [ ] After Allowance Communication to TC
- [ ] Appeal Communication to Board of Appeals and Interferences
- [ ] Appeal Communication to TC **(Appeal Notice, Brief, Reply Brief)**
- [ ] Proprietary Information
- [ ] Status Letter
- [x] Other Enclosure(s) (please Identify below):

Certificate of Mailing
PTO Form SB-08
18 Boxes (Box 14 with two volumes)
PTO Form SB-21 Transmittal Form
Return Receipt Postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|---|---|---|---|
| Firm Name | FULBRIGHT & JAWORSKI L.L.P. | | |
| Signature | | | |
| Printed name | Tom J. Meaney | | |
| Date | February 26, 2007 | Reg. No. | 41,990 |

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PATENT & TRADEMARK OFFICE

Docket No.:
65164/P001CP1/10606083
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:
Seth Harman et al.

Application No.: 09/838,927 | Confirmation No.: 2178

Filed: April 19, 2001 | Art Unit: 3622

For: SYSTEM AND METHOD FOR PROVIDING A DYNAMIC CONTENT WINDOW WITHIN A WINDOWS-BASED CONTENT MANIFESTATION ENVIRONMENT PROVIDED IN A BROWSER

Examiner: J. W. Van Bramer

## INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed after the mailing date of a Final Office Action or Notice of Allowance, whichever occurred first, but on or before payment of the Issue Fee (37 CFR 1.97(d)). Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered.

I hereby certify, pursuant to 37 CFR 1.97(e)(2), that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was



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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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*Effective on 12/08/2004.*
*Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).*

# FEE TRANSMITTAL
## For FY 2005

[x] Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** ($) 180.00

| Complete if Known | |
|---|---|
| Application Number | 09/838,927-Conf. #2178 |
| Filing Date | April 19, 2001 |
| First Named Inventor | Seth Harman |
| Examiner Name | J. W. Van Bramer |
| Art Unit | 3622 |
| Attorney Docket No. | 65164/P001CP1/10606083 |

**METHOD OF PAYMENT** (check all that apply)

[ ] Check [ ] Credit Card [ ] Money Order [ ] None [ ] Other (please identify): _____

[x] Deposit Account Deposit Account Number: 06-2380 Deposit Account Name: Fulbright & Jaworski L.L.P.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

[x] Charge fee(s) indicated below
[ ] Charge fee(s) indicated below, **except for the filing fee**
[x] Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17
[x] Credit any overpayments

**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | |
|---|---|---|---|---|---|---|---|
| | | Small Entity | | Small Entity | | Small Entity | |
| Application Type | Fee ($) | Fee ($) | Fee ($) | Fee ($) | Fee ($) | Fee ($) | Fees Paid ($) |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

**2. EXCESS CLAIM FEES**

| Fee Description | Fee ($) | Small Entity Fee ($) |
|---|---|---|
| Each claim over 20 (including Reissues) | 50 | 25 |
| Each independent claim over 3 (including Reissues) | 200 | 100 |
| Multiple dependent claims | 360 | 180 |

| Total Claims | Extra Claims | Fee ($) | Fee Paid ($) |
|---|---|---|---|
| _____ - = | _____ x | _____ = | _____ |

HP = highest number of total claims paid for, if greater than 20.

| Indep. Claims | Extra Claims | Fee ($) | Fee Paid ($) |
|---|---|---|---|
| _____ - = | _____ x | _____ = | _____ |

HP = highest number of independent claims paid for, if greater than 3.

| Multiple Dependent Claims | |
|---|---|
| Fee ($) | Fee Paid ($) |
| _____ | _____ |
| _____ | _____ |

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is $250 ($125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee ($) | Fee Paid ($) |
|---|---|---|---|---|
| _____ - 100 = | _____ /50 | _____ (round **up** to a whole number) x | _____ = | _____ |

**4. OTHER FEE(S)**

| | Fees Paid ($) |
|---|---|
| Non-English Specification, $130 fee (no small entity discount) | |
| Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement | 180.00 |

**SUBMITTED BY**

| | | | | | |
|---|---|---|---|---|---|
| Signature | | Registration No. (Attorney/Agent) | 41,990 | Telephone | (214) 855-8230 |
| Name (Print/Type) | Tom J. Meaney | | | Date | February 26, 2007 |

known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Subject matter related to this application is currently the subject of litigation, Civil Action Number: CV 02-2748, COMPUTER ASSOCIATES INTERNATIONAL, INC., v. SIMPLE.COM, INC. and WIRED SOLUTIONS, LLC, United States District Court Eastern District of New York. The enclosed documents were either created during the litigation and evidence asserted positions of the parties or the "experts" engaged by the parties regarding claim construction, scope or validity or produced during the course of this litigation and the Plaintiff in the subject litigation has asserted their materiality and/or relevance to the related patents in suit. The Examiner should be aware that the Special Master Reports and Recommendations have been the subject of extensive objections which have been briefed to the District Court Judge. Much of this briefing is also submitted herewith. The District Court Judge has not yet acted on or entered any of the Reports and Recommendations on claim construction, validity, enforceability or infringement.

Moreover, Applicant desires to provide any and all possible information to the Examiner, such that the Examiner may perform a thorough analysis of the claimed invention with respect to the teachings of the art that is being alleged by the Plaintiff in the subject litigation. In furtherance of this desire to be comprehensive, Applicant has also submitted several of the Special Master's Reports and Recommendations regarding multiple issues in the subject litigation. The Examiner is encouraged to reference these pleadings as a roadmap to the various allegations, defenses, and recommendations that may be related to the claimed subject matter.

Applicant initially attempted to submit the listed references in electronic format via the Office's electronic filing system. However, after initially being instructed by the Office that this would be possible and with regard to the format and size-limits which such electronic submission should be in, Applicant was later instructed by the Office that its electronic filing system could not handle the size of Applicant's submission. Subsequently, Applicant attempted to obtain permission from the examiners in this and other related applications to submit the listed references in electronic format on disk, but were not authorized to do so. In compliance with 37 C.F.R. § 1.98 and M.P.E.P. § 609.04(a),

Applicant hereby submits a legible copy of each of the listed references herewith in paper form. With regard to one of the books submitted herewith, CQ12, Teixera Steve et al., "Delphi 4 – Developer's Guide, The Authoritative Solution," Applicant has submitted one copy of the book in the IDS for commonly-owned, co-pending U.S. Patent Application Serial Number 09/838,927. In each of the other related cases, Applicant has filed only copies of the cover and copyright information. Should the Examiner in this case desire to review the contents of the book, he or she may obtain the book from the Examiner in Serial Number 09/838,927.

Additional information, which exists only in video format, is noted on the Office's PTO/SB/08 form, but which cannot be submitted in "paper" form. For example, Exhibit E to the Danny Goodman Declaration (CG8) is video data stored on a DVD. The only possible means for Applicant to submit this information to the Examiner was by submitting the actual DVD disk. This disk should reside in Box 13. If this disk at CG8 is unacceptable to the Examiner, Applicant will make such video available to the Examiner at the Examiner's convenience. Furthermore, if the Examiner wishes to have electronic access to all of the references listed herein, Applicant will make disks available to the Examiner that has each of the references listed herein in TIFF or PDF format, as the Examiner desires.

In an attempt to direct the Examiner to relevant information within this IDS, Applicant would point to the material prepared and submitted in the litigation matter by the experts: Cameron Wiefenbach, Richard Belgard, and Danny Goodman; the depositions of Jeffrey Menninger and Dan Steinman along with their respective exhibits; the Special Master's Reports and Recommendations; the Briefing on the Objections to the Special Master's Reports; and the Briefing on the Summary Judgment along with their Exhibits, especially the Summary Judgments on improper inventorship, non-enablement, indefiniteness, anticipation, obviousness, inequitable conduct, written description, and invalidity. Applicant believes that each of these documents may be located by the Examiner using the following table. Applicant also notes that some of the documents prepared by the experts and the court's special master have been classified as confidential under the protective order in place in the subject litigation and, therefore, Applicant may not properly submit such restricted documents to the Examiner in this IDS. None of the documents noted below and produced herewith are confidential of subject to judicial protective order.

| PARTIAL TABLE OF REFERENCES | |
|---|---|
| Wiefenbach Material | Box 12 – CD7 |
| Belgard Material | Box 12 – CB7, CJ7, CX7<br><br>Box 15 – CN8 |
| Goodman Material | Box 12 – CQ6, CS6, CT6, CU6, CW6, CX6, CY6, CA7, CU7<br><br>Box 13 – CA8, CC8, CD8, CG8<br><br>Box 15 – CM8, CJ11 |
| Menninger Material | Box 15 – CK8, CP8, CR8 |
| Steinman Material | Box 15 – CO8, CQ8 |
| Special Master's Reports | Box 12 – CE7, CF7, CG7, CH7<br><br>Box 15 – CS8, CT8, CU8, CV8, CW8 |
| Objections & Briefing on Special Master's Reports | Box 12 – CI7, CK7, CL7, CM7, CN7, CO7, CP7, CQ7, CR7, CS7, CT7, CV7, CW7, CY7, CZ7 |
| Summary Judgment – Briefing, Etc. | Box 13 – CG9, CH9, CK9, CL9, CM9<br><br>Box 14 – CP9, CQ9, CR9, CS9, CT9, CU9, CV9, CW9, CX9, CZ9, CA10, CB10, CC10, CE10, CF10, CH10, CI10, CJ10, CK10, CN10, CP10, CQ10, CR10, CS10, CU10, CW10, CX10, CY10, CA11, CB11<br><br>Box 15 – CF11, CG11, CH11, CK11 |

Applicant submits herewith copies of foreign patents and non-patent literature in accordance with 37 CFR § 1.98(a)(2).

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Please refer to 'Exhibit A' (attached herewith) as an indexed list of cited references that correspond to the cite number as listed on the PTO/SB/08 and are enclosed in a total of 18 boxes. Exhibit A comprises two sections. The first section provides a box listed related to the range of SB/08 cite numbers contained within each box. The second section provides a list, by cite number, detailing each reference and providing an indication of which box that particular cite-numbered reference resides. While Applicant believes that the boxes contain each of the cite-numbered references as listed, he cannot guarantee that the references are in order of cite number within that particular box. A good faith effort was undertaken to make this so, however, because of the volume of copying that was required, mistakes may have been made in the ordering.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2380, under Order No. 65164/P001CP1/10606083.

Dated: February 26, 2007

Respectfully submitted,

By _____

Tom J. Meaney
Registration No.: 41,990
FULBRIGHT & JAWORSKI L.L.P.
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Suite 2800
Dallas, TX 75201
(214) 855-8230
(214) 855-8200 (Fax)
Attorney for Applicant

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Application No. : 09/838,927 Attorney Docket No.: 65164/P001CP1/10606083

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

| Sheet | 1 | of | 21 |
|---|---|---|---|

| *Complete if Known* | |
|---|---|
| Application Number | 09/838,927 |
| Filing Date | April 19, 2001 |
| First Named Inventor | Seth Harman |
| Art Unit | 3622 |
| Examiner Name | J. W. Van Bramer |
| Attorney Docket Number | 65164/P001CP1/10606083 |

## U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No.[1] | Document Number Number-Kind Code[2] *(if known)* | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|
| | AA | US-6,031,989 | 02-29-2000 | Cordell | |
| | AB | US-5,347,632 | 07-13-1994 | Filepp et al. | |
| | AC | US-5,838,906 | 11-17-1998 | Doyle et al. | |
| | AD | US-6,148,330 | 11-14-2000 | Puri et al. | |
| | AE | US-5,913,215 | 06-15-1999 | Rubinstein et al. | |
| | AF | US-6,061,738 | 05-09-2000 | Osaku et al. | |
| | AG | US-6,061,695 | 05-09-2000 | Slivka et al. | |
| | AH | US-6,064,979 | 05-16-2000 | Perkowski | |
| | AI | US-6,343,377 | 01-29-2002 | Gessner et al. | |
| | AJ | US-6,101,510 | 08-08-2000 | Stone et al. | |

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No.[1] | Foreign Patent Document Country Code[3]-Number[4]-Kind Code[5] *(if known)* | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T[6] |
|---|---|---|---|---|---|---|
| | | | | | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

[1] Applicant's unique citation designation number (optional). [2] See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

## NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No.[1] | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T[2] |
|---|---|---|---|
| | CA | Bryan Pfaffenberger, "Official Microsoft Internet Explorer 4 Book", published by Microsoft Press, 1997, 409 pgs. | |
| | CB | Danny Goodman, "JavaScript™ Bible", 2nd Edition, published by IDG Books Worldwide, Inc., 1996, 624 pgs. | |
| | CC | Nigel McFarlane, "Instant JavaScript", published by Wrox Press, 1997, 469 pgs. | |
| | CD | David Flanagan, "JavaScript: The Definitive Guide", Third Edition, published by O'Reilly & Associates, Inc., 1998, 798 pgs. | |
| | CE | Tom Negrino and Dori Smith, "JavaScript for the World Wide Web", 2nd Edition, published by Peachpit Press, 1998, 205 pgs. | |
| | CF | David M. Geary and Alan L. McClellan, "Graphic Java, Mastering the AWT", published by SunSoft Press for Sun Microsystems, Inc., 1997, 659 pgs. | |
| | CG | Nick Heinle, "Designing with JavaScript: Creating Dynamic Web Pages", published by Songline Studios, Inc. and O'Reilly & Associates, Inc., 1997, 249 pgs. | |
| | CH | computer screen shots; DHTML for Cross Browser - Desktop emulator like Windows95 system, 11 pgs [Retrieved on: December 31, 2003] Retrieved from: http://www/din.or.jp/-hagi3/JavaScript/JSTips/DHTML/Desktop/desktop.htm | |

| Examiner Signature | | Date Considered | |
|---|---|---|---|

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 21

| *Complete if Known* | |
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| Application Number | 09/838,927 |
| Filing Date | April 19, 2001 |
| First Named Inventor | Seth Harman |
| Art Unit | 3622 |
| Examiner Name | J. W. Van Bramer |
| Attorney Docket Number | 65164/P001CP1/10606083 |

| | | | |
|---|---|---|---|
| | CI | computer screen shots; Test of "DHTML Windowmaker Sim" (Appendix E), website publication date unknown, 29 pgs. | |
| | CJ | computer screen shots, "Visual DHTML", website and publication unknown, 19 pgs. | |
| | CK | Danny Goodman and Dori Smith, "Scripting the User Interface", 8 pgs. [Retrieved on: January 15, 2004] Retrieved from: http://www.chalcedony.com/seminars/UI/title.html | |
| | CL | Scriplets; Microsoft Interactive Developer, Cutting Edge, Microsoft, 21 pgs.[Retrieved on: January 5, 2004] Retrieved from: http://www.microsoft.com/mind/0198/cutting0198.asp | |
| | CM | Google Search: script position absolute drag group:comp.infosystems.www.authoring.html, blog regarding "How to consolidate this DHTML script", dated February, 2, 1998,2 pgs. [Retrieved on: January 8, 2004] Retrieved from: http://groups.google.com/groups?q=script+position+absolute+drag+group:comp.infosystem | |
| | CN | Cay S. Horstmann and Gary Cornell, "Core Java, Volume 1 - Fundamentals", published by Sun Microsystems, Inc., 1999, 765 pgs. | |
| | CO | Jason J. Manger, "Essential Java* Developing Interactive Applications for the World-Wide Web", published by McGraw-Hill, 1996, 374 pgs. | |
| | CP | Richard Karpinski, "Beyond HTML", published by Osborne McGraw-Hill, 1996, 491 pgs. | |
| | CQ | Patrick Naughton, "The Java Handbook", published by Osborne McGraw-Hill, 1996, 443 pgs. | |
| | CR | "What is Mozilla?", Mozilla - home of the mozilla, firebird, and camino web browsers, 2 pgs. [Retrieved on: December 31, 2003] Retrieved from: http://www.mozilla.org/ | |
| | CS | Charles Petzold, "OS/2 Presentation Manager Programming (Disk)", published by Ziff-Davis Press, ©1994, 943 pgs. | |
| | CT | Gordon McComb, "Web Programming Languages Sourcebook (CD Rom)", published by John Wiley & Sons, Inc., ©1997, 615 pgs. | |
| | CU | Ian S. Graham, "HTML Stylesheet Sourcebook", published by John Wiley & Sons, Inc., 432 pgs. | |
| | CV | XWeb: Bringing X to the World Wide Web, Broadway/Xweb Live White Papers, 13 pgs. Retrieved on: August 14, 2002] Retrieved from: http://www.broadwayinfo.com/bwwhitesbroadwayhcl.htm | |
| | CW | X11R6.3 (Broadway) Overview, The Open Group: Open Group Desktop Technologies, 4 pgs. [Retrieved on: August 14, 2002] Retrieved from: http://www.opengroup.org/tech/desktop/x-window-system/broadway.htm | |
| | CX | Arnaud Le Hors, "Running an Application (possibly embedded) Over the Web!", 3 pgs. [Retrieved on: August 14, 2002] Retrieved from: http://www.w3.org/People/Arnaud/embedding.html | |
| | CY | Chris Lankford, "Hummingbird Server Exceeds Our Expectations", Network Computing, 3 pgs. [Retrieved on: August 14, 2002] Retrieved from http://www.networkcomputing.com/822/822sp4.thml | |
| | CZ | Presentation Slides, Speeches and Reports, Fifth International World Wide Web Conference, May 6-10, 1996, Paris, France, 37 pgs. [Retrieved on: August 14, 2002] Retrieved from:http://www5conf.inria.fr/fich_html/slides/ | |
| | CA1 | Previous Releases of Tarantella, Internet Brief, Previous releases of Tarantella, All about 1.0, Readme, All about 1.1, Tarantella Tour, Supported web browsers, Control Center, 13 pgs. [Retrieved on: August 14, 2002] Retrieved from: http://www.tarantella.com/knowhow/ | |
| | CB1 | New.Architect: Internet Strategies for Technology Leaders, New Architect: Script Junkie, 15 pgs. [Retrieved on: August 13, 2002] Retrieved from: http://www.webtechniques.com/archives/1997/12/junk and http://newarhitectmag.com/documents/s=6304/new1013637828/1.htm | |
| | CC1 | Johan Landerholm, "SCO Tarantella: Deploying Todays Applications to NCs", 19 pgs. [Retrieved on: August 14, 2002] Retrieved from: http://www.sics.se/~psm/nc97/sco/ | |

| Examiner Signature | | Date Considered | |
|---|---|---|---|

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| | | | | Examiner Name | J. W. Van Bramer |
| Sheet | 3 | of | 21 | Attorney Docket Number | 65164/P001CP1/10606083 |

| | | | |
|---|---|---|---|
| | CD1 | Kenneth R. Wood et al., "Global Teleporting with Java: Toward Ubiquitous Personalized Computing", The Olvetti & Oracle Research Laboratory, 7 pgs. | |
| | CE1 | Tristan Richardson et al., "Virtual Network Computing", The Olvetti & Oracle Research Laboratory, IEEE Internet Computing, January-February 1998, 6 pgs. Retrieved from: http://computer.org/internet | |
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Sheet 4 of 21

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| Application Number | 09/838,927 |
| Filing Date | April 19, 2001 |
| First Named Inventor | Seth Harman |
| Art Unit | 3622 |
| Examiner Name | J. W. Van Bramer |
| Attorney Docket Number | 65164/P001CP1/10606083 |

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| First Named Inventor | Seth Harman |
| Art Unit | 3622 |
| Examiner Name | J. W. Van Bramer |
| Attorney Docket Number | 65164/P001CP1/10606083 |

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| First Named Inventor | Seth Harman |
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| First Named Inventor | Seth Harman |
| Art Unit | 3622 |
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| *Complete if Known* | |
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| Application Number | 09/838,927 |
| Filing Date | April 19, 2001 |
| First Named Inventor | Seth Harman |
| Art Unit | 3622 |
| Examiner Name | J. W. Van Bramer |
| Attorney Docket Number | 65164/P001CP1/10606083 |

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| Sheet | 10 | of | 21 |
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| Art Unit | 3622 |
| Examiner Name | J. W. Van Bramer |
| Attorney Docket Number | 65164/P001CP1/10606083 |

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| | | | | First Named Inventor | Seth Harman |
| | | | | Art Unit | 3622 |
| (use as many sheets as necessary) | | | | Examiner Name | J. W. Van Bramer |
| Sheet | 11 | of | 21 | Attorney Docket Number | 65164/P001CP1/10606083 |

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| | | | | Examiner Name | J. W. Van Bramer |
| Sheet | 12 | of | 21 | Attorney Docket Number | 65164/P001CP1/10606083 |

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| Application Number | 09/838,927 |
| Filing Date | April 19, 2001 |
| First Named Inventor | Seth Harman |
| Art Unit | 3622 |
| Examiner Name | J. W. Van Bramer |
| Attorney Docket Number | 65164/P001CP1/10606083 |

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| | CW8 | Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Special Masters's Report and Recommendation Regarding Inequitable Conduct", United States District Court, Eastern District of New York, 72 pgs. | |
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| Examiner Signature | | Date Considered | |
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| Application Number | 09/838,927 |
| Filing Date | April 19, 2001 |
| First Named Inventor | Seth Harman |
| Art Unit | 3622 |
| Examiner Name | J. W. Van Bramer |
| Attorney Docket Number | 65164/P001CP1/10606083 |

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| | | | | Filing Date | April 19, 2001 |
| | | | | First Named Inventor | Seth Harman |
| | | | | Art Unit | 3622 |
| (use as many sheets as necessary) | | | | Examiner Name | J. W. Van Bramer |
| Sheet | 17 | of | 21 | Attorney Docket Number | 65164/P001CP1/10606083 |

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| | | | | Art Unit | 3622 |
| (use as many sheets as necessary) | | | | Examiner Name | J. W. Van Bramer |
| Sheet | 18 | of | 21 | Attorney Docket Number | 65164/P001CP1/10606083 |

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| | CH10 | Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "[Proposed] Order RE Plaintiff Computer Associates International, Inc.'s Motion for Partial Summary Judgment of Invalidity of Certain Asserted Claims of the U.S. Patent No. 6,535,882 as Indefinite", United States District Court, Eastern District of New York, 4 pgs. | |
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| | CM10 | Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Declaration of Bruce Green in Support of Computer Associates International, Inc.'s Motion for Summary Judgment and/or Summary Adjudication of Non-Infringement", United States District Court, Eastern District of New York, 2 pgs. | |
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| | CT10 | Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Supplemental Declaration of Bruce Green in Support of Computer Associates International, Inc.'s Motion for Summary Judgment and/or Summary Adjudication of Non-Infringement", United States District Court, Eastern District of New York, 2 pgs. | |

| Examiner Signature | | Date Considered | |
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| | CU10 | Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Plaintiff's Brief in Opposition to Defendants' Motion for Summary Judgment Dismissing CA's Claims That the Patents-In-Suit are Invalid as Anticipated and Obvious", United States District Court, Eastern District of New York, 57 pgs. | |
| | CV10 | Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Declaration of Nitin Subhedar in Support of Plaintiff's Brief in Opposition to Defendants' Motion for Summary Judgment Dismissing CA's Claims That the Patents-In-Suit are Invalid as Anticipated and Obvious", United States District Court, Eastern District of New York, 8 pgs. | |
| | CW10 | Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Defendants' Memorandum of Law in Support of Summary Judgment on Claim Construction", United States District Court, Eastern District of New York, 34 pgs. | |
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| | CH11 | Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Defendants' Reply Memorandum in Support of Motion for Summary Judgment of Infringement", United States District Court, Eastern District of New York, 19 pgs. | |
| | CI11 | Author Unknown, Civil Action Number: CV 02-2748 (DRH)(MLO), "Declaration of Chris Martiniak in Opposition to Defendants' Motion for Summary Judgment of Infringement", United States District Court, Eastern District of New York, 59 pgs. | |

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# EXHIBIT A

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|---|---|
| **BOX 1** | CA-CE |
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